



S/10/656,483

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|-------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|----------------|
| Applicant: | SHIMAMURA et al. | Examiner: | Unknown |
| Serial No.: | 10/656,483 | Group Art Unit: | Unknown |
| Filed: | September 5, 2003 | Docket No.: | 10873.1283US01 |
| Title: | NEGATIVE ELECTRODE MATERIAL FOR NON-AQUEOUS ELECTROLYTE SECONDARY BATTERY, METHOD FOR PRODUCING THE SAME AND NON-AQUEOUS ELECTROLYTE SECONDARY BATTERY | | |

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 1, 2003.

By:

Name: Jennifer Holden

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

A concise explanation of the relevance of each non-English language document or other information is as follows (37 C.F.R. § 1.98(a)(3)):

U.S. 5,770,333 corresponds with Japanese reference 9-63651.

U.S. 6,090,505 corresponds with Japanese reference 2000-030703.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.
P.O. Box 2903
Minneapolis, MN 55402-0903
(612) 332-5300

Dated: December 1, 2003

By: _____

Douglas P. Mueller
Reg. No. 30,300

DPM/jh

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PATENT TRADEMARK OFFICE

FORM 1449*

INFORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:

10873.1283US01

Application Number:

10/656,483

Applicant: SHIMAMURA et al.

Filing Date: September 5,
2003

Group Art Unit: Unknown

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NO. | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|--------------|---------|------------------|-------|----------|-------------------------------|
| | 5,770,333 | 06.1998 | Saito et al. | | | |
| | 6,090,505 | 07.2000 | Shimamura et al. | | | |
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FOREIGN PATENT DOCUMENTS

| | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|--|--------------|---------|---------|-------|----------|-------------|----|
| | | | | | | YES | NO |
| | 2002-298836 | 11.2002 | Japan | | | Abstract | |
| | 2000-30703 | 01.2000 | Japan | | | See IDS | |
| | 9-63651 | 03.1997 | Japan | | | See IDS | |
| | 7-240201 | 09.1995 | Japan | | | Abstract | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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|--|--|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | | "Brillouin scattering of TiSi_2 : elastic constants and related thermodynamic parameters" R. Pastorelli et al., microelectronics Engineering, 55(2001) 129-135 |
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PATENT TRADEMARK OFFICE

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.